Cerebral Small Vessel Disease Burden Predicts Neurodegeneration and Clinical Progression in Prodromal Alzheimer's Disease

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13 Abstract.

- Background: Cerebral small vessel disease (CSVD) has been suggested to contribute to the pathogenesis of Alzheimer's disease (AD).
- Objective: This study aimed to comprehensively investigated the associations of CSVD burden with cognition and AD pathologies.
- 18 Methods: A total of 546 non-demented participants (mean age, 72.1 years, range, 55–89; 47.4% female) were included. The
- longitudinal neuropathological and clinical correlates of CSVD burden were assessed using linear mixed-effects and Cox proportional-hazard models. Partial least squares structural equation model (PLS-SEM) was used to assess the direct and
- proportional-hazard models. Partial least squares
 indirect effects of CSVD burden on cognition.
- **Results:** We found that higher CSVD burden was associated with worse cognition (MMSE, $\beta = -0.239$, p = 0.006; MoCA,
- $\beta = -0.493$, p = 0.013), lower cerebrospinal fluid (CSF) A β level ($\beta = -0.276$, p < 0.001) and increased amyloid burden
- $(\beta = 0.048, p = 0.002)$. In longitudinal, CSVD burden contributed to accelerated rates of hippocampus atrophy, cognitive
- decline, and higher risk of AD dementia. Furthermore, as the results of PLS-SEM, we observed both significant direct and
- indirect impact of advanced age (direct, $\beta = -0.206$, p < 0.001; indirect, $\beta = -0.002$, p = 0.043) and CSVD burden (direct,
- $\beta = -0.096$, p = 0.018; indirect, $\beta = -0.005$, p = 0.040) on cognition by A β -p-tau-tau pathway.
- 28 **Conclusion:** CSVD burden could be a prodromal predictor for clinical and pathological progression. Simultaneously, we
- found that the effects were mediated by the one-direction-only sequence of pathological biomarker changes starting with $A\beta$,
- ³⁰ through abnormal p-tau, and neurodegeneration.
- Keywords: Alzheimer's disease, cerebral small vessel disease, cerebrospinal fluid biomarkers, cognition, partial least squares structural equation pathway model

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database (https://adni.loni.usc.edu/). As such, the investigators within the ADNI contributed to the design and implementation of ADNI and/or provided data but did not participate in the analysis or in the writing of this paper. A complete listing of ADNI investigators can be found at https://adni.loni.usc.edu/wpcontent/ uploads/how_to_apply/ADNI_Acknowledgement_List.pdf.

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33 INTRODUCTION

The amyloid cascade hypothesis was proposed to 34 explain the core cause of Alzheimer's disease (AD) 35 in the past decades. According to the hypothesis, 36 amyloid- β (A β) accumulation is the earliest patho-37 logical event and is followed by phosphorylated tau 38 (p-tau) accumulation and neurodegeneration. It has 39 been recognized that the presence of A β is known as 40 the main AD pathological change before the appear-41 ance of cognitive and behavioral impairments during 42 the long asymptomatic phase of AD [1, 2]. 43

Cerebral small vessel disease (CSVD) com-44 prises a variety of disorders affecting small arteries 45 and microvessels of the brain, mainly manifesting 46 as white matter hyperintensities (WMH), cerebral 47 microbleeds (CMBs), and lacunar infarcts (LIs). 48 CSVD and AD frequently co-exist and both are 40 causes of dementia [3]. Actually, CSVD, as a dynamic 50 whole-brain disease, affects almost everyone older 51 than 90 years and contributes to 45% of demen-52 tia cases and global functional decline [4]. A large 53 autopsy-based neuropathological consensus report 54 revealed that 80% of AD patients (no evidence of 55 vascular dementia) had vascular pathology including 56 CSVD, suggesting that cerebrovascular dysfunction 57 is a prominent feature of AD and may lower the 58 threshold for dementia for a given AD pathology bur-59 den [5,6]. Although it is well known that the impact of 60 vascular health on cognition is mediated via vascular 61 brain injury [7], the direct effect of vascular health on 62 the AD pathology process remains debated. Besides, 63 age is a significant driver of the decline in vascular 64 health and increase in AD pathophysiology, acting 65 through several biological mechanisms at the cellu-66 lar or tissue level that may lead to multi-system loss 67 of function. 68

In the study, we aimed to comprehensively investi-69 gate the associations of CSVD burden with cognition 70 and AD pathologies. Simultaneously, we hypoth-71 esized that the effects of CSVD burden and AD 72 risk factors on cognition were mediated by the 73 one-direction-only sequence of pathological changes 74 starting with AB, followed by abnormal p-tau, 75 and eventually neurodegeneration. Therefore, we 76 performed a set of analyses: 1) to investigate the rela-77 tionships of CSVD burden with AD core biomarkers 78 and cognition impairment; 2); to investigate the rela-79 tionship of CSVD burden with cognitive decline 80 and whether CSVD burden could accelerate clini-81 cal progression 3) to test the contributions of CSVD 82 burden and risk factors to cognition impairment 83

across the AD continuum in non-demented elderly individuals.

MATERIALS AND METHODS

Subjects

Data were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (https://adni.loni.usc.edu). As a public-private collaboration, the ADNI project began in 2003 designed to measure the progression of mild cognition impairment (MCI) and early AD through investigating clinical, imaging, genetic, biological markers, and neuropsychological measures. This multi-centered research project was approved by institutional review boards at each site and it has obtained written informed consent from all participants or surrogates. Detailed information can be found at https://adni.loni.usc.edu/study-design.

Five hundred and forty-six non-demented individ-101 uals aged 55 to 90 from the ADNI database were 102 initially selected as they provided both cerebrospinal 103 fluid (CSF) and imaging core biomarkers, CSVD data 104 (and some other vascular risk factors), and general 105 or composite cognitive scores for cross-section anal-106 vses. Apart from the ADNI exclusion criteria, we 107 also excluded participants with a baseline diagnosis 108 of AD to avoid the influence of complex condi-109 tions on the results. In addition, MCI subjects were 110 diagnosed with a Mini-Mental State Examination 111 (MMSE) score of 24 to 30, an objective memory 112 loss tested by delayed recall of the Wechsler Memory 113 Scale logical memory II (>1 SD below the normal 114 mean), a Clinical Dementia Rating scale Sum of 115 Boxes (CDR-SB) score of at least 0.5, preserved 116 activities of daily living, and absence of dementia. 117 Cognition normal (CN) was defined as those who had 118 an MMSE score of 24 or higher and a CDR-SB score 119 of 0 [8-10]. A cut-off of 65 years was used to identify 120 the participants in midlife (n = 84) or late life (n = 462)121 for subgroup analyses. In the longitudinal analyses, to 122 reserve the sample size and evaluate the longitudinal 123 association of CSVD and cognition, 637 participants 124 who provided both follow-up CSVD data and cogni-125 tive scores were included, though these participants 126 may lack of data on core biomarkers of AD. 127

CSF biomarkers and PET imaging measures

The CSF procedural protocols have been described $_{129}$ previously [11]. In brief, CSF A β , p-tau, and t- $_{130}$

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tau were measured using the INNOBIA AlzBio3
immunoassay (Fujirebio, Belgium) on the xMAP
platform (Luminex). The process of sample measurement and quality control met the acceptance criteria
according to the Roche Protocol in the UPenn/ADNI
Biomarker Laboratory [12].

The positron emission tomography (PET) data used were from the UC Berkeley and Lawrence Berkeley National Laboratory. Brain amyloid burden was measured via flobetapir (AV45) SUVR, which was calculated by averaging the values across four cortical regions, with the whole cerebellum as the reference region.

144 Acquisition of MRI data

All magnetic resonance imaging (MRI) data were
obtained in 1.5-Tesla and 3.0-Tesla scanner systems
at each ADNI site according to a standardized protocol. Detailed information of the parameters can
be found at https://adni.loni.usc.edu/methods/mritool/mri-analysis.

151 Assessment of hippocampus

The regional volume estimates for the 1.5 and 3.0 T 152 MRI images were processed with the Free-surfer soft-153 ware package version 4.3 and 5.1 image processing 154 frameworks, respectively (https://adni.loni.usc.edu/). 155 The MRI T1-weighted image underwent initial pre-156 processing, intensity normalization, and gradient 157 expansion. The volume structures of subcortical 158 white matter and deep gray matter were segmented by 159 automatic Talairach transform after a hybrid water-160 shed/surface deformation removed the non-brain 161 tissue. The hippocampus was selected as the region 162 of interest. 163

164 Assessment of CMBs

CMBs were defined as small homogenous 165 hypointense lesions with a diameter of 2 to 5 mm 166 generally, occasionally up to 10 mm, seen on T2*-167 weighted MRI or other sensitive sequences [13, 14]. 168 All available T2* scans of individuals were used for 169 identifying the numbers and locations of CBMs by 170 trained image analysts and further confirmed by radi-171 ologists experienced in reading T2* images. Only the 172 definite status was included in the current study and 173 finally was categorized as present if there was at least 174 1 visible lesion, or absent if there was no visible lesion 175 [8]. 176

Assessment of WMH

The quantification of WMH has been detailed described in a previous essay [15]. Briefly, structural brain images were measured through the Bayesian approach for the segmentation of high-resolution 3-dimensional magnetization prepared rapid gradient echo T1-weighted and T2-FLAIR sequences [16]. In the present study, the Fazekas rating scale was used to assess WMH, which was calculated as a sum of periventricular and deep WMH scores ranging from 0 to 6, identified by two experienced radiologists in reading T1-weighted and T2-FLAIR images.

Assessment of LIs

LIs were defined as CSF-like hypointensity, which a diameter between 3 mm to 15 mm with a surrounding rim of hyperintensities in T2-FLAIR data. Also two trained radiologists identified Lacune by reading T2-FLAIR images. Lacune was recorded as present if there was at least 1 Lacune lesion visible, or absent if there was no Lacune lesion visible [17].

Assessment of CSVD burden

Lacunes, WMH, and CBMs have been identified as MRI markers of CSVD burden in current study [13, 18]. According to the published simple MRI CSVD score based on visual rating [19], the presence of CMBs, a Fazekas score of ≥ 2 , and a LIs count of ≥ 3 scored one point respectively, generating a simple CSVD score range of 0 to 3. As for CSVD amended score, WMHs were graded from 0 to 3 using the Fazekas scale (0, 1, 2=0, 1, 2 respectively, 3 = >3), and the number of LIs was scaled from 0 to 3 (0 = none, 1 = 1 to 2, 2 = 3 to 5, 3 = >5). one point was assigned for the presence of CMBs, 3 points each for Fazekas score and LIs, resulting in a score among 0 to 7 [19]. We further divided participants into CSVD group (simple score >1) and non-CSVD group (simple score = 0) according to their simple CSVDscores.

Cognitive assessments

We used multiple scales to assess cognitive functions, including MMSE, the Montreal Cognitive Assessment Scale (MoCA), and the cognitive section of Alzheimer's Disease Assessment Scale with 11 items (ADAS). Participants also underwent neuropsychological assessments of cog-

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nitive domains (executive, memory, language, and 222 visuospatial functions) by reviewing the neuropsy-223 chological batteries to identify items that could be 224 considered as the indicators of these domains [20, 225 21]. Lower composite scores indicated worse cogni-226 tive status. The above mentioned scales were used 227 to separately assess general and specific cognition 228 functions [8]. 229

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We tested for normality of the distribution of each 231 AD biomarker using "car" package in R software. 232 Data were presented as mean \pm SD or percentage 233 (%) where appropriate. The comparisons of cog-234 nition and biomarkers between CSVD and normal 235 groups were conducted using Wilcoxon test or t-test. 236 We conducted linear regression models with global 237 and composite cognition scores and AD biomark-238 ers as variables of interest (dependent variables), and 239 CSVD burden as the independent variable. Age, gen-240 der, education, and APOE ɛ4 status were added as 241 covariates for all the models. Interaction terms for 242 main AD risk factors (age, gender, and APOE4 sta-243 tus) were used to explore whether strata effect existed. 244 Subgroup analysis was stratified by age. Sensitivity 245 analyses were performed by adding more covariates, 246 including hypertension (yes or no), hyperlipemia (yes 247 or no), diabetes mellitus (yes or no), coronary heart 248 disease (yes or no), cigarette use (yes or no), and 249 alcohol use (yes or no). 250

Furthermore, the linear mixed effects (LME) 251 models were conducted to depict the longitudi-252 nal influences of CSVD burden on biomarkers and 253 cognition. We treated CSVD scores as indepen-254 dent variables, and treated biomarkers and cognitive 255 measurements as dependent variables. The LME 256 models had random intercepts and slopes for time 257 and unstructured covariance matrix for the random 258 effects, as well as the interaction between time and the 259 independent variable as a predictor. The above regres-260 sion analyses were repeated using WMH Fazekas 261 cores and volumes, CMBs, and LIs as independent 262 variables. 263

To evaluate the risk of clinical progression, we 264 plotted Kaplan-Meier survival curves and computed 265 hazard ratios in the Cox proportion-hazards models. 266 The adjusted risk, expressed as hazard ratio (HR) and 267 95% confidence interval (CI), was estimated for the 268 association between incident AD and CSVD burden. 269 Individuals who did not develop AD or who were lost 270 to follow-up were censored at the time of their last 271

evaluation. The dependent variable was time from entry into the cohort to AD diagnosis.

Finally, we performed partial least squares structural equation modeling (PLS-SEM) to assess the direct and indirect effects of observed and latent variables of AD biomarkers, AD related risk factors (age, gender, education level, APOE4 genotype) as well as CSVD burden on cognitive outcome measures. The biomarker pathways were a priori hypothesized as illustrated in Supplementary Figure 3. Basic risk factors, CSVD burden, and AD core pathology were manifest variables and cognition was latent variable. PLS-SEM allows assessment of inter-construct relationships as well as relationships among constructs and their respective indicators. Total variance in cognition accounted for by the overall PLS-SEM fit was computed using Wright's rules for the coefficient of determination (\mathbb{R}^2) calculation [22].

We mainly used R software (version 3.5.1) for the statistical analyses and figure preparation. Packages "car", "ggplot2", "ggpubr", "magrittr", "survminer", and "lme4" were used in the study. In addition, the SmartPLS version 3 software was used to perform the PLS-SEM analysis. A two-sided p value <0.05 was considered significant.

RESULTS

A total of 546 non-demented individuals consisting of 377 MCI and 169 CN were included. Demographics, AD biomarkers, cognition measurements, as well as CSVD burden and components were summarized in Table 1. As for those who provided CSVD data, the mean (SD) age was 72.1 (SD = 7.0) years old, with a male proportion of 52.6% and an *APOE* ε 4 carrier proportion of 41.0%.

Associations of CSVD burden with AD biomarkers and cognition

Participants with CSVD had lower cognitive scores and A β levels, as well as higher levels of tau-related biomarkers, compared with non-CSVD individuals. Moreover, the *post hoc* analysis based on the severity of CSVD found that participants with more severe CSVD burden had more significant cognitive impairment and AD pathologies (Supplementary Figure 1). Nevertheless, when we treated simple scores or amend scores as dependent variables in linear regression models, their association with A β but not with tau-related biomarkers were still significant, after adjusting for confounding factors. The results were

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	WMH (Fezakas)	CMB	LIs	CSVD
No	N = 682	N=659	N = 893	N = 546
Age (y range)	72.1 ± 6.8	72.0 ± 6.8	72.9 ± 7.04	72.1 ± 7.0
Female, n (%)	332 (48.6%)	322 (48.84%)	394 (44.12)	259 (47.4%)
Education (years)	16 (14-18)	16 (14-18)	16 (14-18)	16 (14-18)
APOE ε 4 carriers n (%)	278 (40.7%)	272 (41.27%)	377 (42.21%)	224 (41.0%)
Hypertension n (%)	292 (42.81%)	281 (42.64%)	374 (41.88%)	230 (42.12%)
Hyperlipidemia n (%)	349 (51.17%)	333 (50.53%)	418 (46.80%)	272 (49.81%)
Coronary heart disease n (%)	38 (5.57%)	36 (5.46%)	40 (4.47%)	29 (5.31%)
Diabetes n (%)	70 (10.26%)	70 (10.62%)	84 (9.40%)	53 (9.70%)
Smoking n (%)	177 (25.95%)	172 (26.10%)	255 (28.55%)	143 (26.19%)
Drinking n (%)	13 (1.90%)	13 (1.97%)	24 (2.69%)	10 (1.83%)
$A\beta$ (pg/mL)	183.5 ± 52.2	183.2 ± 52.0	180.7 ± 53.9	182.0 ± 52.3
p-tau (pg/mL)	38.8 ± 22.7	38.9 ± 22.9	36.6 ± 21.6	38.6 ± 21.6
Tau (pg/mL)	78.2 ± 46.4	78.4 ± 46.6	83.2 ± 48.0	80.6 ± 48.1
AV45	1.18 ± 0.22	1.18 ± 0.21	1.18 ± 0.21	1.18 ± 0.21
Hippocampus volume (mm ³)	7223 ± 1048	7229 ± 1055	7051 ± 1080	7199 ± 1044
Intracranial volume (mm ³)	1504801	1505220	1523690	1504037
	(156149)	(156635)	(163416)	(156994)
MMSE	28.4 ± 1.6	28.4 ± 1.6	$28.1 \pm .17$	28.4 ± 1.6
MoCA	24.2 ± 3.1	24.2 ± 3.0	24.0 ± 3.1	24.1 ± 3.1
ADAS	12.6 ± 6.6	12.6 ± 6.6	13.9 ± 6.9	12.9 ± 6.7
MEM	0.62 ± 0.70	0.61 ± 0.73	0.46 ± 0.75	0.58 ± 0.73
EF	0.56 ± 0.90	0.57 ± 0.89	0.41 ± 0.90	0.54 ± 0.88
LAN	0.52 ± 0.78	0.53 ± 0.78	0.41 ± 0.79	0.51 ± 0.77
VS	0.07 ± 0.68	0.08 ± 0.68	0.02 ± 0.71	0.07 ± 0.68
CSVD measures				
Simple scores				0.79 ± 0.81
0*	0	0	<2	247 (42.8%)
1*	>2	1	>3	211 (36.5%)
2-3*	-	_	_	118 (20.4%)
Amended scores				1.83 ± 1.75
0*	0	0	0	161 (27.9%)
1*	1	1	1	125 (21.6%)
2*	2	1	1	95 (16.4%)
3–5*	3	1	2	178 (30.8%)
>5*	3		3	17 (2.9%)

Table 1 Participants' demographics and cognitive measures

MMSE, Mini-Mental State Examination; MoCA, Montreal Cognitive Assessment; ADAS, Alzheimer's Disease Assessment Scale; MEM, memory function; EF, executive function; LAN, language function; VS, visual function; A β , amyloid- β ; p-tau, phosphorylated tau; Tau, total tau; AV45, Florbetapir; WMH, white matter hyperintensity; CMB, cerebral microbleeds; LIs, lacunar infarctions; In the simple scores: One point was given for the presence of each of any lacunar infarct, WMH, and CMB. In the amended scores: WMH were graded from 0 to 3 using the Fazekas scale; The number of lacunar infarcts was graded from 0 to 3 (0 = none, 1 = 1 to 2, 2 = 3 to 5, 3 = >5). CMB were graded as absent (0) or present (1). *represented simple and amended scores or the number of lesions.

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barely changed in the sensitive analyses (Supplementary Tables 1 and 2). Simple scores, amended scores and Fezakas scores showed significant correlations with all the included cognitive scale scores, and the existence of CMBs and a greater number of LIs were associated with worse cognition.

Among the interaction analyses (Supplementary Table 3), we found that the age*simple score interaction was significantly associated with cognition, including executive function (p=0.032) and language function (p<0.001). On the contrary, the gender*simple score interaction and *APOE4* status*simple score interaction showed no significant associations with cognition and AD core biomarkers. We further stratified participants by age and found that the above differences in cognition and biomarkers remained significant only participants in late life (Fig. 1).

Longitudinal relationship between CSVD and cognition

The longitudinal relationships of CSVD burden with neurodegeneration and cognition were explored in participants who provided intact related data. Totally 637 individuals were included in the analyses and the average follow-up time was 4.68 years (range from 1–10 year). As the results demon-



Fig. 1. Differences in cognition and CSF core biomarkers among different groups according to the presence of CSVD. In late-life, participants with CSVD manifested lower cognitive and AB42 levels and higher tau-related biomarkers levels compared with non-CSVD individuals.



Fig. 2. Longitudinal changes of cognition between cerebral small vessel disease burden (CSVD) and normal individuals. Individuals with CSVD manifested faster cognition deterioration compared with non-CSVD subjects in almost all scales.

strated, CSVD burden accelerated hippocampus 346 atrophy (Fig. 2H). Participants with CSVD showed a faster worsening of general cognition (Fig. 2A, B), 348 memory function (Fig. 2D), and executive function (Fig. 2E) in the future compared with non-CSVD 350 individuals. The deposition of AB also displayed a 351 marginally significant increase in CSVD individu-352 als (Fig. 2G). The main results of LME regressions 353 were summarized in Supplementary Table 4. Consis-354 tent with the above findings, higher simple scores 355

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and amended scores could longitudinally predict worse cognition status as they significantly associated with all cognition measurements (MMSE, MoCA, ADAS, MEM-domain, EF-domain, and LAN-domain, all p < 0.001). Furthermore, participants with higher CSVD burden had accelerated rates of cognitive decline and hippocampal atrophy (Supplementary Figure 2). WMH, as represented by Fezakas scores, was also a predictor of cognitive impairments (MMSE, $\beta = -0.072$, p < 0.001; MoCA,

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Fig. 3. Kaplan-Meier curves showing survival probability of clinical progression. Progression to MCI/AD, including 1) diagnosed as dementia from baseline MCI individuals; 2) diagnosed as MCI or AD from baseline CN individuals.

³⁶⁶ β = -0.076, *p* < 0.001; ADAS, β = 0.126, *p* = 0.008; ³⁶⁷ LAN-domain, β = -0.032, *p* = 0.028). In addition, we ³⁶⁸ failed to demonstrate that CMB and LIs could cause ³⁶⁹ a faster cognitive decline.

370 Prediction of clinical progression

Figure 3 showed the results of the Kaplan-Meier 371 analyses. Individuals with CSVD showed higher con-372 version risk in clinical progression compared with 373 non-CSVD subjects. Table 2 showed that the progres-374 sion rate was higher in those with CSVD (27.38%) 375 than non-CSVD individuals (17.98%) (HR = 1.812, 376 95% CI = 1.266 to 2.592, p = 0.001). However, the 377 significance was compromised after adjusting for 378 age, gender, education level, apoe4 status and base-379 line diagnosis (HR = 1.408, 95%CI = 0.962 to 2.061; 380 p = 0.076). 381

In addition, survival curves showed significant 382 differences when we compared those with higher 383 Fezakas scores to those with lower scores (we 384 regarded 2 scores as the cutoff value [19]). Com-385 pared to those with lower Fezakas scores, individuals 386 with higher scores had an average of 98.8% increased 387 risk of developing AD dementia (HR = 1.988, 388 95%CI = 1.429 to 2.767; *p* < 0.001). 389

Figure 4 showed the full constructs of PSL-SEMs and the parameter estimates for the final models. Only the paths that were statistically significant at p < 0.05 are represented. The final model fit the data well: the goodness-of-fit (GoF) was 0.54 for the modeling; the standardized root mean square residual (SRMR = 0.045) was less than 0.08; and the normed fit index (NFI = 0.921) was greater than 0.9.

The final PLS-SEM model explained 32.2% of the variance in AB, 22.0% in p-tau, 55.2% in tau, and 30% in cognition. CSVD burden, AB, p-tau, and tau each independently explained 13.5%, 28.9%, 39.9%, and 11.2% of variance in cognition. In addition, the Aβ-p-tau-tau pathway accounted for 15.4% of variance in cognition. Here we describe some significant independent variables of each outcome in a stepwise fashion. 1) Age was a significant direct risk factor of CSVD burden ($\beta = 0.376, p < 0.001$), A β ($\beta = -0.208$, p < 0.001), and cognition ($\beta = -0.206$, p < 0.001). The indirect impact of age on cognition through CSVD burden, AB, p-tau, and tau pathologies was also significant ($\beta = -0.002$, p = 0.043). 2) APOE4 was a significant direct risk factor of CSVD burden $(\beta = 0.151, p = 0.001), A\beta (\beta = -0.497, p < 0.001), p$ -

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Progression risk of individuals in present study								
	Progression	Unadjusted		Adjusted				
	rate	HR (95%)	p	HR (95%)	р			
Progression to MCI/AD								
Non-CSVD	17.98%	ref	_	ref	_			
CSVD	27.38%	1.812 (1.266-2.592)	0.001	1.408 (0.962-2.061)	0.076			
Progression to MCI/AD					L.			
Fezakas scores (0-2)	16.03%	ref	_	ref	-			
Fezakas scores (3-6)	27.23%	1.997 (1.437–2.774)	< 0.001	1.988 (1.429-2.767)	< 0.001			

Table 2

Event, progression to MCI/AD, including 1) diagnosed as dementia from baseline MCI individuals. 2) diagnosed as MCI or AD from baseline CN individuals. HR, Hazard ratios (95% CI) calculated using Cox regression analyses, in unadjusted and adjusted models corrected for baseline age, gender, APOE4 status, and years of education.



Fig. 4. Results of path analysis of combined AD CSF biomarker pathways mediating the effect of CSVD burden and risk factors on cognitive measurement. Squares or rectangles represent manifest variables. Numbers associated with effects are standardized regression coefficients. Only the paths that were statistically significant at p < 0.05 are represented. Paths that were hypothesized but were not statistically significant at p < 0.05 are excluded. CSVD burden, CSF A β , and Ttau, together with advanced age, presence of APOE ε 4 allele, lower education level and being male had significant direct effects on greater cognitive impairment. In addition, we also observed that advanced age had significant direct effects on greater CSVD burden, lower CSF AB and higher CSF Ttau level. Also, the CSVD burden and biomarker model mediated the effect of age on cognitive impairment. Presence of APOE \$\varepsilon4\$ allele had significant direct effects on greater CSVD burden, lower CSF A\varepsilon4\$ level, higher CSF Ptau and Ttau level. But the mediation effect via CSVD burden-Aβ-Ptau-Ttau failed to establish. Greater CSVD burden had significant direct effects on AB, but not on Ptau and Ttau. However, the indirect effect of CSVD burden on cognition were significantly through Aβ-Ptau-Ttau. Lowr CSF Aβ had significant direct effects on Ptau but not Ttau.

tau ($\beta = 0.125$, p = 0.004), tau ($\beta = 0.140$, p < 0.001), 415 and cognition ($\beta = -0.090$, p = 0.039). APOE4, as one 416 of the most definite risk factors of AD, also had 417 significant and direct effects on cognition via the 418 Aβ-p-tau-tau pathway ($\beta = -0.022$, p = 0.008). 3) 419 Education level was significantly and directly associ-420 ated with cognition ($\beta = 0.207$, p < 0.001), and being 421 male was significantly and directly associated with 422 tau ($\beta = -0.092$, p = 0.001) and cognition ($\beta = -0.159$, 423 p < 0.001). 4) CSVD burden significantly and directly 424 influenced AB ($\beta = -0.128$, p = 0.001) and cognition 425 $(\beta = -0.096, p = 0.018)$. The indirect impact of CSVD 426 burden on cognition via the Aβ-p-tau-tau pathway 427

was also significant ($\beta = -0.005$, p = 0.040). In addition, the effect of AB-p-tau-tau pathway on cognition was significant, which confirmed the previously proposed AD A β cascade hypothesis [23].

DISCUSSION

In this study, we provided sufficient evidence for the associations of CSVD burden with cognition, AD pathologies and clinical progression in prodromal AD. We found that CSVD burden could not only impair cognition, but also intimately lead to abnormal CSF core biomarkers and hippocampus atrophy.

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During the long-term follow-up, higher CSVD bur-430 den led to accelerated rates of hippocampus atrophy 440 and cognitive decline, as well as a higher risk of AD 441 dementia. Furthermore, as the results of the media-442 tion models indicated, CSF AB and tau pathologies, 443 jointly with AD risk factors and CSVD burden, con-111 tributed together to cognitive impairment and further 445 decline in non-demented elders. 446

In our study, higher CSVD burden was associated 447 with lower CSF AB level and worse cognition, and 448 it could predict faster declines in global cognition, 449 memory, executive and language functions, as well 450 as greater rates of conversion to AD, but no signifi-451 cant difference was found in tau-related biomarkers 452 between individuals with higher and lower CSVD 453 burden. Up to now, the etiology of CSVD and the 454 mechanisms by which CSVD was involved in cogni-455 tive decline and clinical progression of AD are still 456 unclear. Decreased AB level is believed to be first in a 457 line of upstream events that cause AD-related demen-458 tia. Prior work suggested oxidative stress might be 459 the possible link between AD and the early onset 460 of CSVD [24]. The reactive oxygen species could 461 increase the AB deposition formed in the vessel walls 462 or cause the dysfunction of endothelial cells. Further, 463 vascular lesions indeed impaired the AB clearance 464 pathway [25]. The above findings suggest that vascu-465 lar dysregulation may be the early pathological event 466 contributing to the development of AD [26]. Func-467 tional changes of the cerebral blood flow caused by 468 structural arterial changes could accelerate AB accu-469 mulation, thus modifying progression or worsening 470 risk by shifting the threshold for cognitive impair-471 ment and AD dementia [27]. Hence, we inferred that 472 CSVD could lead to the slow accumulation of tissue 473 damage, promote amyloid aggregation and restricts 474 its clearance, finally causing abnormal AD patholo-475 gies and AD-related cognitive decline in older adults. 476

The exploratory study of the associations between 477 CSVD burden and AD pathologies was performed 478 in the context of the A/T/N scheme for biomark-479 ers in non-demented elders. The PLS-SEM analyses 480 allowed us to simultaneously observe the direct 481 and indirect effects of risk factors on each vari-482 able of interest and also take into consideration the 483 step-wise process of AD progression. The results 484 of PLS-SEM analyses showed that age and CSVD 485 affected cognitive impairment independently or via 486 the A β -p-tau-neurodegeneration axis. As reported, 487 advanced age influenced cognitive impairment and 488 decline predominantly and indirectly via greater AB 489 burden, greater atrophy, and greater WMH burden 490

[28]. Consistent with this finding, we found evidence for significant direct and indirect impacts of age on cognitive impairment. As the pathway depicted, age increased CSVD burden and decreased CSF AB; the joint effects of age and CSVD burden caused abnormal AB and tau pathologies, finally leading to cognitive impairment. Moreover, regardless of age, the effects of CSVD burden on cognitive impairment were also mediated via the A/T/N pathway. The widely accepted AD biomarker model demonstrated that AB had an initiating role in pathophysiological changes at early stages of AD by facilitating spread and accumulation of tau pathology. Therefore, soluble AB changes triggered tau metabolism changes and then the neurodegeneration occurred [29]. Since the effect of aging is inevitable, the joint effect of AB and tau pathologies with CSVD on cognition indicates that therapies for vascular protection should take priority over targeted therapies for AD pathologies.

WMH, as a main marker of CSVD, was associated with CSF A β and cognitive decline. Higher Fezakas scores also predicted faster conversion to AD. A previous study also demonstrated that WMH influenced cognition via the A β -tau-atrophy axis [28]. As reported, WMH led to reduced clearance of brain A β through impairment of the glymphatic system [30, 31]. All the above findings suggest the close association between WMH and CSF A β . The associations of LIs and CBMs with CSF A β remained controversial [31–34], though majority studies held that larger numbers of CBMs and LIs resulted in higher A β levels [35, 36]. In present study, we have found baseline associations of cognition with CBMs and LIs, but their longitudinal associations were not significant.

Our study performed a more comprehensive analysis aimed to investigate the associations between CSVD burden and AD pathology, as well as the possible pathways. We mainly focused on the prodromal individuals. These individuals with higher CSF AB level resulting in an increased statistical power to detect the earliest changes in the AD continuum. Nevertheless, there are limitations in this study. Firstly, significant associations of baseline levels of CSVD were detected, but there was a lack of data about some markers including enlarged perivascular spaces and brain atrophy in the ADNI database. Secondly, we established the CSVD-Aβ-p-tau-taucognition pathway in CSF biomarkers. And further studies conducted in larger cohorts are still warranted to give more credible evidence in imaging biomarkers.

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542 Conclusions

To sum up, this study comprehensively investi-543 gated the associations between CSVD burden and 544 AD cognition and pathologies. We mainly found 545 that CSVD burden could be a prodromal predictor 546 for clinical and pathological progression. Simultane-547 ously, we proved that the effects of CSVD burden on 548 cognition were mediated by the one-direction-only 549 sequence of pathological biomarker changes starting 550 with AB, through abnormal p-tau, and neurodegen-551 eration. Therapeutic interventions on lowering the 552 risk of vascular disease might be a rational approach 553 to dispose of both early and late-onset AD demen-554 tia. The incorporation of CSVD as a biomarker is 555 legitimately warranted in the biological definition of 556 AD. 557

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CONFLICT OF INTEREST

JTY is an Editorial Board Member of this journal but was not involved in the peer-review process nor had access to any information regarding its peer-review. The authors declare that they have no competing interests.

DATA AVAILABILITY

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

SUPPLEMENTARY MATERIAL

The supplementary material is available in the electronic version of this article: https://dx.doi.org/ 10.3233/JAD-221207.

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